

PATENT

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Keith M. Baxter

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants	Eric P. Codner, et al.	Client Docket No. 960296.00018
Group No.	:	
Serial No.:	10/602,243	
Examiner:		
Filed:	June 24, 2003	
For:	Horizontal Surface Plasmon Resonance Instrument Wit	h Improved Light Path
Attorney:	Keith M. Baxter	
INFORMA	ATION DISCLOSURE STATEMENT PURSU	ANT TO 37 CFR 1.97
	ner for Patents n, D.C. 20231	
Sir:		
The	following are submitted in the above-identified ap	plication in compliance with
37 CFR 1.9	7 and 1.98:	•
✓ A lis	st of documents on form PTO-1449 together with a ment;	copies of each identified
A tra	anslation or a concise explanation of each non Engument is enclosed herewith.	lish language
This paper i	s submitted in accordance with:	
✓ 37 C	FR 1.97(b) [within 3 months of filing or prior to	1 st Office Action]
□37 C	FR 1.97(c) [before Final Office Action or Notice	of Allowance]; and
	The required certification made under the heading	

		The \$ fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized under the heading "Authorization to Charge Fees" below.
	37 CF	R §1.97(d) [before issue fee payment]; and
	under PETIT	This is a petition for consideration of the subject Information Disclosure nent. The petition fee \$ required by 37 CFR 1.17(i)(1) is authorized the heading "Authorization to Charge Fees." (Direct this letter to "Attention TONS EXAMINER" and if applicable include batch locator information: e.g. wed Files, Batch, Date of Allowance"),
	b)	The required Certification is stated under the heading "Certification" below.
	<u>Certifi</u>	cation
		Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
		No item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.
'	<u>Author</u>	rization to Charge Fees
		charge all applicable fees associated with the submittal of this Information sure Statement to Deposit Account 17-0055.
		Respectfully submitted,
		By
		Keith M. Baxter Attorney for Applicant Reg No.: 31,233 Quarles & Brady LLP 411 East Wisconsin Ave.
		Milwaukee, WI 53202-4497 (414) 277-5719

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ction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

Application Number 10/
Filing Date 1429/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete il Kilonii			
Application Number	10/		
Filing Date	June 24, 2003		
First Named Inventor	Eric P. Codner		
Art Unit			
Examiner Name			
Attorney Docket Number	960296.00018		

				DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ^{2 (if known)}	_	 	1 iguies Appeal
	1	^{US-} 6,330,062B1	12/05/2001	Corn et al.	
	2	^{US-} 6,489,102B2	12/03/2002	Corn et al.	
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			<u>IGN PATENT DOCU</u>			
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	
		Country Code ^{3 -} Number ^{4 -} Kind Code ⁵ (if known)				
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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Compl te if Known

Application Numb r 10/

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

Filing Dat

June 24, 2003

First Named Inventor

Art Unit

Examiner Name

Attorney Docket Number

960296.00018

Sheet

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		OTHER PRIOR ART-NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	3	LYON, L. ANDREW, An Improved Surface Plasmon Resonance Imaging Apparatus, Review of Scientific Instruments, April 1999, Vol. 70, No. 4, pgs. 2076-81.	

Examiner Date Signature Considered

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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